Director's Sub-Delegation Scheme

¹Director of Children and Families

Signed²

Dated 26/5/17

Review Date ³	Initial of reviewing officer

¹ Insert title of Director here. Steve Walker was appointed Acting Director of Children's Service by the Employment Committee on Friday 23rd September 2016 <u>Link to Committee papers</u>

² Approving a sub-delegation scheme should be treated as a Significant Operational Decision, and the Delegated Decision Notice, together with supporting report, and the scheme should be published on the Council's website.

³ This scheme is first made at the beginning of the Municipal Year following delegations being made by the Leader and at the Annual Council Meeting. After this the sub-delegation scheme should be kept under review to ensure that it is up to date and fit for purpose – use this table to record the dates when the scheme is reviewed if no changes are necessary.

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Introduction

The⁴ Director of Children and Families is authorised in accordance with the Officer Delegation Scheme to carry out functions on behalf of Full Council and the Executive. Each Director has the benefit of a number of delegations – these are set out separately in two schemes; one for Council functions (delegated by Full Council) and one for Executive Functions (delegated by the Leader of Council). Each scheme is further separated into the general functions which are shared so that all Directors can carry out those functions in relation to areas within their remit, and specific functions which may only be carried out by or on behalf of the named Director. Details of those delegations can be found in Part 3 of the Council's Constitution. Follow these links to find delegations in relation to Council Functions and Executive Functions.

The Director has chosen to sub-delegate some or all of those functions to officers of suitable experience and seniority in his/her own directorate or in another directorate. These officers are identified by reference to their job title rather than by name. If the Director delegates functions to a fellow Director he/she makes it clear in this sub-delegation scheme whether that Director can sub-delegate those functions. This scheme details the officers who can carry out each function on the Director's behalf, together with the details of any terms and conditions which the Director has imposed on that sub-delegation (examples can be found in footnote⁵ below). All officers are bound by the Employee Code of Conduct and should consider these together with any other rules or requirements in relation to personal conflicts of interest which may apply to them when exercising authority delegated under this scheme.

Even though the Director has sub-delegated the functions he/she remains accountable for all decisions taken in accordance with this scheme. An officer with sub-delegated authority may decide not to exercise that authority, or the Director may indicate that the authority should not

⁴ Insert title of Director here.

⁵ The Director may limit the delegation by imposing a term or condition, for example he/she may:-

Impose a financial limit (e.g. decisions up to and including £100,000per annum in value);

Impose a limit in relation to the category of the decision (e.g. Administrative decisions only);

[•] Require that certain decisions are referred to the Director or another senior officer (e.g. Key decisions to be referred to the Chief Officer (Environmental Action));

[•] Require an officer to consult specified people before making a particular type of decision (e.g. in consultation with the City Solicitor or in consultation with relevant ward Members);

Limit decisions to those within an officer's role (e.g. in relation to matters within their remit);

[•] Limit decisions to those relating to a specific function or project (e.g. decisions relating to the Basic Need Programme);

be exercised, in respect of any individual matter. In either case that matter should be referred to the Director for a decision or for referral to the relevant Committee if appropriate.

In some circumstances not all Directors have functions delegated to him/her in the delegation scheme. In these cases the relevant part of this sub-delegation scheme is marked as 'not applicable'.

Where the Director has chosen not to sub-delegate his/her authority, this is clearly stated within the scheme. Decisions in relation to those functions should be taken by the Director unless the absence provisions at the end of this scheme apply.

Glossary

Council Functions	Functions which must be carried out by or on behalf of full Council. Functions which are reserved to Full Council can be found here . Other Council functions are delegated to Committees of Elected Members or to individual officers.
Elected Members	Councillors elected by the citizens of Leeds.
Executive Board	The group of 10 Elected Members including and selected by the Leader, responsible for carrying out Executive functions.
Executive Functions	Functions which must be carried out by or on behalf of the Executive.
Full Council	The meeting of all 99 Elected Members of Leeds City Council
Functions	Things which Leeds City Council must or may do. All functions are set out in legislation which will state whether the function is permissive or mandatory.
Leader	The Leader of Council, elected by all 99 Members of Council. (Usually the chosen leader of the largest political group represented at full Council.)
Local Choice Functions	The Council must decide whether these functions should be treated as Council functions or Executive functions. Details of the responsibility for these functions can be found here .

Officers	Staff employed by the Council.
Relevant Executive Member	The Leader gives Portfolios of responsibility to individual members of Executive Board. Details of specific responsibilities are set out in the Executive Members Portfolios and Overview of Executive Member's Roles and Responsibilities.

Group Delegations - Definitions and Priorities

The Director has chosen to delegate a number of functions to groups of officers. Where the same group of officers receive a number of separate delegations, in order to save space within the sub-delegation scheme, those groups of officers have been given a title. The following table sets out the title of each group of officers and lists the officers within each group. It also provides details of how it should be determined which of the officers within the group should take any given decision.

Group Title	Officers included in group authorisation	Order of responsibility
Deputy Directors	Deputy Director, Children and Families	
	Deputy Director Learning	
Chief Officers	Chief Officer Partnerships	
	Chief Officer Learning Improvement	
Heads of Service	 Heads of Service Children's Social Work 	
	Service	
	 Heads of Service Children and Families 	
	 Heads of Service Learning 	
	 Heads of Service Partnerships 	
Heads of Service Children's Social Work Service	Head of Service Quality & Practice	
	Improvement	
	 Head of Looked After Children's Services 	
	 Head of Children's Social Work (3 posts – 	
	ENE, WNW and South)	
Heads of Service Children and Families	 Head of Service Learning for Life 	
	Head of Early Help	
Lead Officers Children and Families	Youth Offer Lead	
	 Children's Centres and Early Help Lead 	
	 Youth Offending Service Lead 	
	Health and Wellbeing Lead	
	 Targeted Services Area Leads (3 posts – ENE, 	

	WNW and South)
Heads of Service Learning	Head of Learning Improvement
	Head of Service Learning Systems
Lead Officers Learning	Leadership and Management Lead
	Schools in Challenging Circumstances Lead
	Inclusion Lead
	Children Missing out on Education (MOOM)
	and Exclusion Monitoring Lead
	Virtual School Lead
	Area Lead Primary Learning Improvement
Heads of Service, Partnerships	Head of Service Children's Workforce
	Development and Change
	Head of Service Commissioning and Market
	Management
	Head of Traded Services
	Head of Service Complex Needs
Lead Officers, Partnerships	Customer Relations Lead
	Information Knowledge Lead
	Voice and Influence Lead
	Practice and Development and Partnership
	Training Lead
	Partnership Development and Business
	Support Lead
	Principal Educational Psychologist/Best
	Practice Development Lead
	Statutory Assessment and Provision Lead
	Complex Needs Area Lead (3 posts – ENE,
	WNW and South)
	Sensory Service Lead

Delegation of Functions Under Articles

The functions set out in this part of the sub-delegation scheme are delegated to the Director by Full Council through the relevant Article of the Constitution.

General Delegations

The officer to whom these functions are sub-delegated may exercise these functions, which have been delegated to all Directors, for matters within his/her Director's remit only.

Article	Function Delegated	Of	ficer to whom delegated	Terms and Conditions
14.5	To sign as agent for the Council all	•	Deputy Directors	In relation to matters within their remit
	contracts of a value below £100,000	•	Chief Officers	Contracts of a value below £100,000 ⁶
	agreed to be entered into by the Council or			
	any part of it.			

⁶ Contracts above the value of £100,000 are signed in accordance with Article 14 and the sub-delegation scheme of the City Solicitor.

Specific Delegations⁷

The officer to whom these functions are sub-delegated may exercise these functions, which have been delegated to the Director alone, with general effect.

Article	Function Delegated	Officer to whom delegated	Terms and Conditions
14.5	Authentication of Documents for legal proceedings To sign documents which are a necessary step in legal proceedings.	 Chief Officer Learning Improvement Head of Learning Improvement 	To take any action which the City Solicitor can take BUT only with regard to the issuing of proceedings under the Education Act 1996 and the Education and Inspectorates Act 2006.
	(Sub-delegated to the Director of Children's Services by the City Solicitor (with power for the DCS to sub-delegate)		

⁷ Specific Delegations are made under:-

[•] Article 12 to the Chief Executive as Head of Paid Service and Director of Resources and Housing as his deputy; the City Solicitor as Monitoring Officer and the Head of Governance and Scrutiny Support as her deputy; and the Chief Officer (Financial Services) as Chief Finance Officer and XXX as his deputy;

[•] Article 14 to the Chief Executive and the City Solicitor;

[•] Article 15 to the City Solicitor as Monitoring Officer;

[•] Article 16 to the City Solicitor as Monitoring Officer

Council Functions -

Introduction

The functions set out in this part of the sub-delegation scheme are Council Functions as defined by the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 (as amended)⁸ which have been delegated to the Director by Full Council, or by a Council Committee.

Decisions in relation to these functions should be categorised in accordance with <u>Article 13</u> and taken in accordance with <u>the Access to</u> <u>Information Procedure Rules⁹.</u>

Where a Significant Operational Decision is taken in relation to a Council Function it should be supported by a report to the decision maker on the corporate report template and recorded on a Delegated Decision Notice, both of which can be found in the <u>Decision Making Toolkit</u>. The decision may be implemented immediately. The report supporting the decision should be published together with the Delegated Decision Notice on the Council's website as soon as practicable after the decision has been taken.

Where an Administrative Decision is taken in relation to a Council Function you should keep a written record for audit purposes. You can use a Delegated Decision Notice to make this written record if it is helpful to do so. The decision may be implemented immediately.

⁸ And those Local Choice Functions which are the responsibility of Full Council and have been delegated to the Director

⁹ These rules incorporate the requirements of the Openness of Local Government Bodies Regulations 2014 in relation to the publication of written records of relevant decisions.

Council Functions –

General Delegations

	Function Delegated	Officer to whom delegated	Terms and Conditions
Gener	al		
(a)	To make payments or provide other benefits in cases of maladministration.	Deputy DirectorsChief Officers	Up to £40,000 in their area of responsibility and in accordance with guidance notes jointly prepared by the City Solicitor and the Director of Resources and Housing.
(b)	Functions relating to health and safety under any relevant statutory provision within the meaning of Part 1 of the Health and Safety at Work Act 1974, to the extent that these functions are discharged otherwise than in the Council's capacity as employer.	 Deputy Directors Chief Officers Heads of Service Children and Families Heads of Service Learning Heads of Service Partnerships 	In relation to those areas within their remit, with the exception of the overall management of fire safety which remains with the Chief Officer.
Perso	nnel		
(c)(i)	To appoint staff within the approved establishment in accordance with the Council's Recruitment and Selection Procedure.	 Deputy Directors Chief Officers Head of Service Children and Families Heads of Service Learning Heads of Service Partnerships 	In relation to maters within their remit and in consultation with the Chief Officer Audit and Investment, Head of Finance (Children's Services) the Head of Human Resources (Children's Services) and subject to there being budgetary provision. Such staff should be employed in terms set out in the guidance issued by the Director of Housing and Resources.

	Function Delegated	Officer to whom delegated	Terms and Conditions
(c)(ii)	To appoint staff on a temporary basis to provide cover for absences or cater for peaks in workload subject to there being budgetary provision.	 Deputy Directors Chief Officers Heads of Service Children and Families Heads of Service Learning Heads of Service Partnerships 	 Subject to there being budgetary provision. Such staff should be employed on terms set out in the guidance issued by the Director of Resources and Housing. In relation to maters within their remit and in consultation with Head of Finance (Children's Services) the Head of Human Resources (Children's Services)
(c)(iii)	To determine issues relating to officers' terms and conditions of employment and to take such action and enter into such agreement as may be required to give effect to such determinations.	 Deputy Directors Chief Officers Heads of Service Children and Families Heads of Service Learning Heads of Service Partnerships 	In relation to maters within their remit and in consultation with the Chief Officer Audit and Investment, Head of Finance (Children's Services) the Head of Human Resources (Children's Services).
Byela	ws		
(d)	The enforcement of byelaws.	Deputy DirectorsChief Officers	In relation to maters within their remit.

Local Choice Functions –

The Director of Children's Services ¹⁰ is authorised to discharge the following Local Choice Functions which have been assigned to full Council (see Part 3 Section 1 of the Constitution):

	Function Delegated	Officer to whom delegated	Terms and Conditions
(a)	To make arrangements for appeals against exclusion of pupils from maintained Schools	 Children Missing out on Education and Exclusion Monitoring lead 	
(b)	To make arrangements for appeals regarding school admissions ¹¹	 Head of Learning Systems Admissions and Family Information Lead 	
(c)	To make arrangements for appeals by governing bodies ¹²	 Chief Officer Learning Improvement Head of Learning Improvement Governor Support Service Manager 	

¹⁰ The fact that a function has been delegated to the Director does not require the Director to give the matter his/her personal attention and the Director may arrange for such delegation to be exercised by an officer of suitable experience and seniority. However the Director remains responsible for any decision taken pursuant to such arrangements.

¹¹ s94 (1), (1A) and (4) School Standards and Framework Act 1998

¹² s95 (2) School Standards and Framework Act 1998

Council Functions –

Specific Delegations

The sub-delegation scheme for Council functions set out below includes a number of powers authorising the named officer to make decisions in relation to approvals, licenses, permissions and registrations. In accordance with his/her general delegations, and unless otherwise stated, the Director includes in relation to those authorisations the power to:-

- a) Impose conditions, limitation or restrictions;
- b) Determine any terms to which they are subject;
- c) Determine whether and how to enforce any failure to comply;
- d) Amend, modify, vary or revoke; and
- e) Determine whether a charge should be made or the amount of such a charge.

	Function Delegated	Officer to whom delegated	Terms and Conditions
(a)	To license the employment of children (under part 2 of The Children's and Young Person's Act 1933 by-laws made under that part, and part 2 of the Children's and Young Person's Act 1963.).	 Head of Early Help Targeted Services Area Lead (ENE) Child Employment Officer 	
		Administrative Officer Attendance	Only in the absence of the Child Employment Officer

Executive Functions –

Introduction

The functions set out in this part of the sub-delegation scheme are Executive Functions as defined by the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 (as amended)¹³ which have been delegated to the Director by the Leader of Council. If the Leader or relevant Executive Member¹⁴ directs that the Director should not exercise his/her delegated authority in respect of any Executive function, then the officer with sub-delegated authority may not exercise that authority, and the matter must be referred to Executive Board.

Decisions in relation to these functions should be categorised in accordance with <u>Article 13</u> and taken in accordance with <u>the Executive and Decision Making Procedure Rules</u>.

Where a Key Decision is proposed in relation to an Executive Function it should be publicised on the Council's web site using the Request to add a Key Decision to the 'List of Forthcoming Key Decisions' form. The decision itself should be supported by a report to the decision maker on the corporate report template and recorded on a Delegated Decision Notice. The necessary templates can be found in the <u>Decision Making Toolkit</u>. In most cases a Key Decision will be open to Call In and should not be implemented until the Call In period has expired.

Where a Significant Operational Decision is taken in relation to an Executive Function it should be supported by a report to the decision maker on the corporate report template and recorded on a Delegated Decision Notice. The report supporting the decision should be published together with the Delegated Decision Notice on the Council's website as soon as practicable after the decision has been taken. A Significant Operational Decision may be implemented immediately.

Where an Administrative Decision is taken in relation to a Council Function you should keep a written record for audit purposes. You can use a Delegated Decision Notice to make this written record if it is helpful to do so. There is no requirement to publish the decision and it may be implemented immediately.

¹³ And those Local Choice Functions which are the responsibility of the Executive Board and have been delegated to the Director

¹⁴ See glossary.

Executive Functions –

General Delegations

	Function Delegated	Officer to whom delegated	Terms and Conditions			
1 Fin	1 Financial ¹⁵					
а	To incur expenditure and to generate and collect income in line with Financial Regulations, Contracts Procedure Rules and within approved revenue and capital estimates.					
	Revenue	 Deputy Directors Chief Officer Partnerships Chief Officer Learning Improvement Head of the One Adoption Agency 	In relation to matters within their remit, key decisions which will result in the authority incurring expenditure or making savings of £250, 000 and above should be referred to the Director of Children and Families.			
		 Heads of Service Children's Social Work Service Heads of Service Children and Families Heads of Service Partnerships 	In relation to matters within their remit, decisions which will result in the authority incurring expenditure or making savings over £20,000 each year shall be referred to the Deputy Director or Chief Officer.			

¹⁵ See <u>Financial Regulations Toolkit</u>

	Function Delegated	Officer to whom delegated	Terms and Conditions
		Heads of Service Learning	In relation to matters within their remit, decisions which will result in the authority incurring expenditure or making savings over £100,000 each year shall be referred to the Director
	Revenue: Youth Activity Fund	Director of Communities and Environment	This function to be used in respect of the delegation to Community Committees to allow urgent decisions relating to the Youth Activity Fund allocated to any such committee, and subject to any conditions stipulated by the Executive or the relevant Community Committee.
	Capital	Deputy Director LearningChief Officer Learning Improvement	Decisions which will result in the authority incurring expenditure or making savings over £750,000 each year shall be referred to the Director of Children and Families.
		Head of Service Learning Systems	Decisions which will result in the authority incurring expenditure or making savings over £500,000 each year shall be referred to the Director of Learning.
		Programme Manager	Decisions which will result in the authority incurring expenditure or making savings over £250,000 each year shall be referred to the Head of Service Learning Systems.
		Partnership Team Senior Manager 14-19	Decisions which will result in the authority incurring expenditure or making savings over £250,000 each year shall be referred to the Head of Service Learning Systems.
b	In an emergency to incur any immediate and necessary expenditure required. Such expenditure must be reported to the Chief Finance Officer at the first opportunity.	 Deputy Directors Chief Officer Partnerships Chief Officer learning Improvement 	In relation to matters within their remit, key decisions which will result in the authority incurring expenditure or making savings of £250, 000 and above should be referred to the Director of Children and Families.

	Function Delegated	Officer to whom delegated	Terms and Conditions
		Chief Officer Children's Social Work Service	In relation to matters within their remit, decisions which will result in the authority incurring expenditure or making savings of £100,000 and above each year shall be referred to the Deputy Director Safeguarding, Specialist and Targeted Services.
		Heads of Service Learning	In relation to matters within their remit, decisions which will result in the authority incurring expenditure or making savings of £100,000 and above each year shall be referred to the Deputy Director Learning.
		 Heads of Service Children's Social Work Service Heads of Service Children and Families Heads of Service, Partnerships 	In relation to matters within their remit, decisions which will result in the authority incurring expenditure or making savings over £20,000 each year shall be referred to the Deputy Director or Chief Officer relation to matters within their remit.
2 Pro	ocurement ¹⁶		
a	To make decisions in relation to commissioning and procurement activity. Such activity should be carried out in accordance with the Contracts Procedure Rules.	Deputy DirectorsChief Officers	In relation to matters within their remit, decisions which will result in the authority incurring expenditure or making savings over £750,000 each year shall be referred to the Director of Children's Services.
		Head of Service Learning Systems	Decisions which will result in the authority incurring expenditure or making savings over £500,000 each year shall be referred to the Deputy Director Learning.
		Programme Manager	Decisions which will result in the authority incurring expenditure or making savings over £250,000 each year shall be referred to the Head of Service Learning Systems.

¹⁶ See Procurement and Category Management Toolkit

	Function Delegated	Officer to whom delegated	Terms and Conditions
		Head of Service Learning Improvement	Decisions which will result in the authority incurring expenditure or making savings over £250,000 each year shall be referred to the Head of Service Learning Systems.
b	To approve all matters relating to operational PFI projects, including (without limitation) variations to project documents and refinancing.	 Deputy Director Learning Chief Officer Learning Improvement Head of Service Learning Systems 	
С	Subject to the approval of the City Solicitor and the Chief Finance Officer, to sign certificates under the 1997 Act in relation to contracts.	NOT TO BE SUB-DELEGATED ¹⁷	
3 Ger	neral		
а	i) In consultation with the Chief Officer PPPU and Procurement, to make a decision on an expression of interest under community right to challenge.	Deputy Directors and Chief Officers	In relation to matters within their remit
b	Data Protection, Human Rights, Surveillance activities, and Freedom of Information ¹⁹		

¹⁷ In the event of the absence of the Director the certificate must be signed by the Chief Finance Officer or City Solicitor or in their absence one of the Statutory Chief Officers or Non-Statutory Chief Officers to whom authority is delegated under the Officer Delegation Scheme (Executive Functions) General Delegations paragraph 5.

¹⁸ Sections 81-86 Localism Act 2011 and Community Right to Challenge (Expressions of Interest and Excluded Services)(England) Regulations 2012

¹⁹ See Managing Information Toolkit

	Function Delegated	Officer to whom delegated	Terms and Conditions
	 i) To implement and ensure compliance with: the rules on data protection, human rights, surveillance activities, and freedom of information the council's policies on these matters guidance and advice from the SIRO on these matters. 	 Deputy Directors Chief Officers Heads of Service 	In relation to matters within their remit
	ii) To designate officers with specific responsibilities for these matters.	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit
	iii) To advise the SIRO of any, new types of data processed, of new ways of processing personal data and of any new persons or organisations to whom data is given.	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit
С	i) To issue statements to the press and other news media about their delegated functions within the adopted Budget and Policy Framework;	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit and only following consultation with the Deputy Directors or Chief Officers. The relevant Executive Member must be made aware of all press and other news media statements.

²⁰ See <u>Dealing with the Media Toolkit</u>

	Function Delegated	Officer to whom delegated	Terms and Conditions
d	i) To authorise officers possessing such qualifications as may be required by law or in accordance with the council's policy, to take samples, carry out inspection, enter premises and generally perform the functions of a duly authorised officer of the council (however described) and to issue any necessary certificates of authority.	Not delegated	
е	 Corporate procedures²² i) To take any action remitted to the Director under corporate procedures. 	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit.
f	Local Choice Functions (see Section 1, Part 3 of the Constitution)		
	i) Functions under a local act, unless otherwise specified in Regulation 2 or Schedule 1 of the Local Authorities (Functions and Responsibilities) Regulations 2000;	Deputy DirectorsChief Officers	In relation to matters within their remit.

²¹ This delegation gives the officer detailed power to grant authority for other officers to carry out certain statutory powers or duties (e.g. entering onto land or appearing in court). This part of the sub-delegation scheme does not detail those 'authorised officers' – Authorised officers are given separate evidence of their authority (e.g. an ID card or certificate), signed by the person named here who has the power to authorise them. Their details are kept in a separate list together with details of who authorised them, the date they were authorised and the functions for which they are authorised.

²² This function refers to any powers delegated to the Director under the Procedure Rules set out at Part 4 of the Council's Constitution which are not specifically included elsewhere (for example functions under the Financial Regulations and the Contracts Procedure Rules which are delegated at Paragraphs 1 to 4 above

	Function Delegated	Officer to whom delegated	Terms and Conditions
	ii) To obtain particulars of persons interested in land.	Deputy Director Learning	To the extent that this is in pursuance of the Director of Children Services delegated authority.
g	i) To canvas the views of local stakeholders, formulate and publish initial proposals within the budget and policy framework.	Deputy DirectorsChief Officers	In relation to maters within their remit and in consultation with Head of Finance (Children and Families Services) and Head of Human Resources (Children and Families) if appropriate.
a a	i) To deal with employment issues in accordance with agreed procedures and the relevant national conditions of service as modified or extended by any local or national agreements.	Deputy DirectorsChief OfficersHeads of Service	In relation to maters within their remit and in consultation with the Chief Officer Audit and Investment , Head of Finance (Children and Families Services) and Head of Human Resources (Children And Families) if appropriate.

²³ See <u>Recruitment and Staffing Toolkit</u>

	Function Delegated	Officer to whom delegated	Terms and Conditions
b	i) Decisions can be taken in relation to restructures ²⁴ except where the decision: • Involves changes to existing National or Local Agreements and policies; and/or • Cannot be achieved within delegated powers in respect of budgets ii) Decisions in respect of restructures which involve changes to existing agreements or policies and/or which have budgetary implications as set out in 4(b)(i) above are delegated to the Deputy Chief Executive and are subject to consultation with the City Solicitor and other appropriate parties.	 Deputy Directors Chief Officers 	In relation to matters within their remit. Decisions are subject to: i. appropriate professional advice being sought, ii. prior consultation with all appropriate parties affected by the decision including all officially recognised trade unions, and iii. appropriate consideration of pay and grading requirements. Proposals which involve additional Council expenditure outside officer delegations or which involve issues outside existing Council policy will be referred to the Council or appropriate committee. Key decisions which will result in the authority incurring expenditure or making savings of £250,000 and above should be referred to the Director of Children and Families
С	Workforce Development	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit

5 Ways of Working

- appropriate professional advice being sought;
- prior consultation with all appropriate parties affected by the decision, including all officially recognised trade unions; and
- appropriate consideration of pay and grading requirements.

²⁴ Decisions in relation to restructures are subject to:-

	Function Delegated	Officer to whom delegated	Terms and Conditions
а	 i) To understand relevant information in relation to local population and communities and to identify emerging trends; ii) To identify and review provision and to ensure it is appropriately matched to current and anticipated level of need; iii) To engage with locality management teams to maximise value of local experience and engagement; and iv) To work appropriately with Community Committees to ensure local democratic engagement in needs analysis and service provision 	 Deputy Directors Chief Officers Heads of Service 	In relation to matters within their remit
b	i) To engage in partnerships with organisations in public, private, and voluntary sector ii) To promote and influence partnership working with organisations across the city; and iii) To work in partnership beyond the city boundaries to support and participate in regional and sub-regional arrangements.	 Deputy Directors Chief Officers Heads of Service 	In relation to matters within their remit

	Function Delegated	Officer to whom delegated	Terms and Conditions
С	i) To carry out functions exercisable on behalf of an NHS body under Section 75 National Health Service Act 2006 in relation to matters within their remit.	Deputy DirectorsChief Officers	In relation to matters within their remit
d	Provision of Statutory Returns i) To provide such statutory returns as are necessary within the Director's remit.	Deputy DirectorsChief Officers	In relation to matters within their remit

Executive Functions –

Specific Delegations

	Function Delegated	Officer to whom delegated	Terms and Conditions
1	Safeguarding, Specialist and Targeted Services		
а	Preventative Services including: Taking account of the benefits of prevention and early intervention and the importance of co-operating with other agencies to offer early help to children, young people and families to:	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit
	i) Understand local need; and	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit
	ii) Secure provision of services.	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit
b	Safeguarding and Child Protection including:	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit

Function Delegated		Officer to whom delegated	Terms and Conditions
i)	Leading on multiagency arrangements to ensure that resources are coordinated and deployed in safeguarding and vulnerable children;	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit
ii)	Provision of safeguarding training to ensure that staff are equipped to recognise and address child abuse;	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit
iii)	Acting as corporate parents for looked after children;	 Deputy Director Children and Families Heads of Service Children's Social Work Service Heads of Service Children and Families 	In relation to matters within their remit
iv)	Provision of placements for looked after children; and	Deputy Director Children and Families	Decisions in relation to individual child placements £300,000 or over each year shall be referred to the Director of Children and Families.
		Head of Looked After Children's Services	Decisions in relation to individual child placements £250,000 or over each year shall be referred to the Deputy Director Safeguarding, Specialist & Targeted Services

	Function Delegated	Officer to whom delegated	Terms and Conditions
	v) Implementing planned transition for young people leaving care.	Deputy Director Children and Families	Decisions in relation to individual child placements £300,000 or over each year shall be referred to the Director of Children and Families.
		Head of Looked After Children's Services	Decisions in relation to individual child placements £250,000 or over each year shall be referred to the Deputy Director Safeguarding, Specialist & Targeted Services
С	Assessment and Care Management including:	 Deputy Director Children and Families Heads of Service Children's Social Work Service Heads of Service Children and Families 	In relation to matters within their remit.
	i) Assessment of children who may have social care needs; and	 Deputy Director Children and Families Heads of Service Children's Social Work Service Heads of Service Children and Families 	In relation to matters within their remit.

	Function Delegated	Officer to whom delegated	Terms and Conditions
	ii) Co-ordination, management and review of care package to meet assessed needs.	 Deputy Director Children and Families Heads of Service Children's Social Work Service Heads of Service Children and Families 	In relation to matters within their remit.
d	Complex Needs including:	 Deputy Director Children and Families Chief Officer Partnerships Head of Service Complex Needs 	In relation to matters within their remit.
	i) Provide and commission services to meet the need of children with complex needs.	Deputy Director Children and Families	Decisions in relation to individual child placements over £300,000 each year shall be referred to the Director of Children and Families.
		Chief Officer Partnerships	Decisions in relation to individual child placements over £250,000 each year shall be referred to the Director of Children and Families.
		Head of Service Complex Needs	Decisions in relation to individual child placements over £200,000 each year shall be referred to the Chief Officer Partnerships

	Function Delegated	Officer to whom delegated	Terms and Conditions	
е	Residential and Respite Care including:	 Deputy Director Children and Families Chief Officer Partnerships Head of Service Complex Needs 	In relation to matters within their remit.	
	i) Provision and commissioning of residential placements; and	Deputy Director Children and Families	Decisions in relation to individual child placements over £300,000 each year shall be referred to the Director of Children and Families.	
		Chief Officer Partnerships	Decisions in relation to individual child placements over £250,000 each year shall be referred to the Director of Children and Families.	
		Head of Service Complex Needs	Decisions in relation to individual child placements over £200,000 each year shall be referred to the Chief Officer Partnerships	
	ii) Provision and commissioning of respite.	Deputy Director Children and Families	Decisions in relation to individual child placements over £300,000 each year shall be referred to the Director of Children and Families.	
		Chief Officer Partnerships	Decisions in relation to individual child placements over £250,000 each year shall be referred to the Director of Children and Families.	
		Head of Service Complex Needs	Decisions in relation to individual child placements over £200,000 each year shall be referred to the Deputy Director Children and Families	

	Function Delegated	Officer to whom delegated	Terms and Conditions
f	Support for Carers including:	 Deputy Director Children and Families Chief Officer Partnerships Heads of Service Children's Social Work Service Heads of Service Children and Families 	In relation to matters within their remit.
	i) Provision or commissioning of training, advice and practical help for carers.	 Deputy Director Children and Families Heads of Service Children's Social Work Service Heads of Service Children and Families 	In relation to matters within their remit.
g	Youth Offending Services including:	 Deputy Director Children and Families Head of Service Looked After Children Youth Offending Service Lead 	In relation to matters within their remit.

			Officer to whom delegated	Terms and Conditions
	i)	Provision of education for children in custody; and	 Deputy Director Children and Families Head of Service Looked After Children Youth Offending Service Lead 	In relation to matters within their remit.
	ii)	Safeguarding arrangements for children in custody.	 Deputy Director Children and Families Head of Service Looked After Children Youth Offending Service Lead 	In relation to matters within their remit.
2 Learni		Is and Universal Services Years Provision including:	Deputy Director	In relation to matters within their remit.
			Children and Families Children's Centres and Early Start Lead	
	i)	Provision of information, advice and assistance to parents and prospective parents;	 Deputy Director Children and Families Head of Service Learning for Life Children's Centres and Early Start Lead 	In relation to matters within their remit.

Function Delegated		Officer to whom delegated	Terms and Conditions
ii)	Provision of children's centres;	 Deputy Director Children and Families Head of Service Learning for Life Children's Centres and Early Start Lead 	In relation to matters within their remit.
iii)	Promotion of child care to ensure sufficient good quality child care to support working parents;	 Deputy Director Children and Families Head of Service Learning for Life Children's Centres and Early Start Lead 	In relation to matters within their remit.
iv)	Promotion of high quality early years provision;	 Deputy Director Children and Families Head of Service Learning for Life Children's Centres and Early Start Lead 	In relation to matters within their remit.

	Func	tion Delegated	Officer to whom delegated	Terms and Conditions
	v)	Provision of free education for three and four year olds and all disadvantaged two year olds; and	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Learning Systems 	In relation to matters within their remit.
	vi)	Support to early years providers meeting requirements of Early Years Foundation Stage statutory framework.	 Deputy Director Children and Families Head of Service Learning for Life Children's Centres and Early Start Lead 	In relation to matters within their remit.
b	Acces	ss to education including:	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems 	In relation to matters within their remit.

Function Delegated	Officer to whom delegated	Terms and Conditions
 Promote a diverse supply of strong schools, including: Encouraging good schools to expand; and Where there is a need for a new school, seeking proposals for an Academy or Free School 	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems 	In relation to matters within their remit.
 Ensure fair access to all schools for every child, including: Provision of appropriate information to parents; and Compliance with the statutory School Admissions and School Admissions Appeal Codes; 	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems 	In relation to matters within their remit.
 Ensure fair access to all schools for every child, including: Provision of appropriate information to parents; and Compliance with the statutory School Admissions and School Admissions Appeal Codes; 	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems 	In relation to matters within their remit.

	Function Delegated	Officer to whom delegated	Terms and Conditions
	Provision of suitable home to school transport arrangements; and	 Chief Officer Partnerships Head of Service Commissioning and Market Management 	In relation to matters within their remit.
	Make arrangements for children outside mainstream education or missing education.	 Deputy Directors Chief Officers Head of Service Learning Improvement Head of Service Complex Needs Children Missing out no Education (MEMO) and Exclusion Lead 	In relation to matters within their remit.
С	Special Educational Needs including;	 Deputy Directors Chief Officer Partnerships Head of Service Complex Needs 	In relation to matters within their remit.
	i) Provide and commission education services to meet the need of children with special educational needs; and	 Deputy Directors Chief Officer Partnerships Head of Service Complex Needs 	In relation to matters within their remit.

	Function Delegated	Officer to whom delegated	Terms and Conditions
	ii) Funding provision for children with statements of special educational needs.	 Deputy Directors Chief Officer Partnerships Head of Service Complex Needs 	In relation to matters within their remit.
d	Promotion of educational excellence including:	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement 	In relation to matters within their remit.
	i) Support to maintained schools delivering national curriculum;	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement 	In relation to matters within their remit.
	ii) Development of robust school improvement strategies;	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement 	In relation to matters within their remit.

Funct	ion Delegated	Officer to whom delegated	Terms and Conditions
iii)	Support of school to school collaboration;	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement 	In relation to matters within their remit.
iv)	Improvement of poorly performing schools;	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement 	In relation to matters within their remit.
v)	Establishing a schools forum; and	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement 	In relation to matters within their remit.
vi)	Maintaining a scheme for financing maintained schools and related provision of information.	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement 	In relation to matters within their remit.

	Function Delegated	Officer to whom delegated	Terms and Conditions
e	14-16 Skills Development	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems Partnership Team Senior Manager 14-19 	In relation to matters within their remit.
	Support the development of a diverse learning offer including University Technical Colleges, Studio Schools, Direct College enrolment and Free Schools;	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems Partnership Team Senior Manager 14-19 	In relation to matters within their remit.

 Func	tion Delegated	Officer to whom delegated	Terms and Conditions	
•	Support the development of academic, technical and vocational pathways that contribute to local labour market needs;	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems Partnership Team Senior Manager 14-19 	In relation to matters within their remit.	
•	Promote the opportunities available to young people at 14; and	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems Partnership Team Senior Manager 14-19 	In relation to matters within their remit.	

	Function Delegated	Officer to whom delegated	Terms and Conditions
	Promotion of business engagement in schools and colleges through high quality Careers Education, Information, Advice And Guidance.	 Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning Systems Partnership Team Senior Manager 14-19 	In relation to matters within their remit.
f	Development of active citizens including:	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement 	In relation to matters within their remit.
	i) Promotion of access to educational and recreational leisure time activities for improvement of well-being and personal and social development of children; and	 Deputy Directors Chief Officer Learning Improvement Head of Service Learning Improvement Head of Service Learning for Life Youth Offer Lead 	In relation to matters within their remit.

	Function Delegated	Officer to whom delegated	Terms and Conditions
	ii) Promotion of children's participation in public decision making.	 Chief Officer Partnerships Head of Service Children's Workforce and Development Voice and Influence Lead 	In relation to matters within their remit.
4 Child	l Poverty including:		
а	Establish local co-operation arrangements to reduce child poverty, including:	Chief Officer Partnerships	
	i) Preparation and publication of a local child poverty needs assessment; and	Chief Officer Partnerships	
	ii) Preparation of a local child poverty strategy.	Chief Officer Partnerships	

Functions Delegated to the Director of Children and Families as Lead Officer of One Adoption Agency for West Yorkshire

The Director of Children and Families is authorised²⁵ to discharge the following functions on behalf of the West Yorkshire Adoption Joint Committee ('WYAJC')

²⁵ Save where the Chair has directed or the Director considers that the matter should be referred to WYAJC for consideration.

1) Adoption serv	1) Adoption services including:-					
a)	Recruitment and approval of potential adopters;	•	Head of Service Regional Adoption Agency			
b)	Identification of potential matches between children and adopters ²⁶ ;	•	Head of Service Regional Adoption Agency			
c)	Provision of adoption panels; and	•	Head of Service Regional Adoption Agency			
d)	Provision of adoption support services ²⁷ to adopters, adoptees, birth families and relevant professionals	•	Head of Service Regional Adoption Agency			

²⁶ One Adoption Agency for West Yorkshire shall identify potential matches and make recommendations to the relevant local authority for the matched child. The decision to match a child with an adoptive family remains a function of the Local Authority.

²⁷ Including maintenance of and access to adoption records

Miscellaneous Functions

The functions set out in this part of the Sub-delegation scheme are derived from:-

- Legislation which provides that a function should be the specific responsibility of the Director;
- Policies and Procedures which form part of the Constitution of Leeds City Council;
- Sub-delegations made by other Directors of Leeds City Council to whom those functions have been delegated; and
- Delegations which have been made to the Director by Full Council or the Executive for a period less than 6 months which are not therefore reflected in the Constitution

Place from where function derived	Function Delegated	Officer to whom delegated	Terms and Conditions
Sub-delegated to the Director of Children's Services by the City Solicitor (with power for the DCS to sub-delegate	To take any action intended to give effect to a decision of the executive or an officer including the commencement defence, withdrawal or settlement of proceedings.	 Deputy Directors Chief Officer learning Improvement 	To take any action which the City Solicitor can take BUT only with regard to the issuing of proceedings under the Education Act 1996 and the Education and Inspectorates Act 2006.
Employee Code of Conduct	To ensure that Notification of an Offer forms are available at all appropriate establishments within the relevant Directorate.	Deputy DirectorsChief OfficersHeads of Service	In relation to matters within their remit.

Place from where function derived	Function Delegated	Officer to whom delegated	Terms and Conditions
	To receive completed Notification of an Offer forms, and If the offer has been rejected by the employee: Arrange for the central register to be completed; and File the form. If the employee is requesting permission to accept the offer: To decide whether it would be appropriate to accept the offer, and if agreed: i. To sign the form and send a copy to the relevant employee; ii. To enter the details of the Register of Hospitality and Gifts; and iii. File the form.	 Deputy Directors Chief Officers Heads of Service 	In relation to matters within their remit.
Sub-delegated to the Director of Children's Services by the Chief Executive	Local Authority Social Services and National Health Service Complaints (England) Regulations 2009 i) To act as responsible person	Not delegated	For matters within their remit
With authority to further sub- delegate to officers of suitable experience and seniority	ii) To act as complaints manager	Customer Relations Lead	For matters within their remit

Place from where function derived	Function Delegated	Officer to whom delegated	Terms and Conditions
	2 45 15 11 2 11 2 11 2		
In accordance with the	Specified functions in relation to the Building	Not delegated	
resolution of the Executive	Hope – Leeds/Sri Lanka Tsunami Appeal charity,		
Board 6 July 2005 the Director			
of Children and Families will also			
carry out relevant functions in			
relation to the Building Hope –			
Leeds/Sri Lanka Tsunami Appeal			
Charity			

Absence Provisions

The table below sets out details of responsibility for those functions which are not sub-delegated by the Director in the usual course of business. These sub-delegations may only be exercised in the absence of the Director on leave²⁸ or where the Director has confirmed in writing that he/she will be absent from the office and these provisions are to apply²⁹.

Function sub-delegated by Director	Officer with authority to exercise function in absence of Director.	Terms and Conditions
The authority's role as children's services authority, including functions in relation to: • Arrangements to promote co-operation to improve well-being of children; • Arrangements to safeguard and promote welfare of children; • Information databases; • The Local Safeguarding Children Board.30	Deputy Director Children and Families	In relation to matters within their remit subject to consultation with the relevant Executive Member when taking Key Decisions.
	Chief Officer Learning Improvement	In relation to matters within their remit subject to consultation with the relevant Executive Member when taking Key Decisions.
	Chief Officer Partnerships	In relation to matters within their remit subject to consultation with the relevant Executive Member when taking Key Decisions.
All other functions	Deputy Director Children and Families	In relation to matters within their remit subject to consultation with the relevant Executive Member when taking Key Decisions.

²⁸ Whether annual leave, sick leave or special leave

²⁹ It is recommended that a delegated decision form be used to record and publish this as a Significant Operational Decision.

 $^{^{30}}$ For clarification this does not include functions set out in paragraphs b, c, d, and e below.

Function sub-delegated by Director	Officer with authority to exercise function in absence of Director.	Terms and Conditions
	 Head of Learning Improvement Head of Learning Systems 	In relation to matters within their remit subject to consultation with the relevant Executive Member when taking Key Decisions.
	• Chief Officers	Subject to consultation with the relevant Executive Member when taking Key Decisions.